



## Call for Proposals

### Guidelines and Proposal Template for Country Submissions

Call Launch Date: 20 May 2021

Submission Deadline: 8 September 2021

## GAFSP Call for Proposals: Guidelines for Country Submissions

### I. The Global Agriculture and Food Security Program

The Global Agriculture and Food Security Program (GAFSP) works to improve the income and food security of poor people in low-income countries through public and private sector investments, as well as technical assistance and advisory services. GAFSP aims to fill the financing and technical gaps in country-owned and country-led agriculture and food security strategies and plans. GAFSP takes a holistic food systems perspective – with agriculture at its core – to support countries resiliently and sustainably transform their food and agriculture sector and improve environmental outcomes, reduce greenhouse gas emissions, advance gender equality, increase smallholders' incomes, improve human health and nutritional outcomes, and enhance countries' capacity to adapt to and build resilience for potential future crises.

In line with this objective, and to maximize the impact of funding along the entire food value chain, GAFSP invites Governments and Producer Organizations (POs) to submit proposals for investment grants and technical assistance to support the implementation of country-led initiatives.

GAFSP allocates funds based on competitive, open Calls for Proposals that have clear, transparent guidelines set forth by the GAFSP Steering Committee in consultation with stakeholders, constituents, and partners. The GAFSP Steering Committee has launched a Call for Proposals targeting country governments and Producer Organizations (POs). The guidelines below address the requirements for countries applying under this Call for Proposals. Separate guidelines and a template are available for POs applying under this Call<sup>1</sup>.

### II. Call for Proposals: Objective, Scope and Eligibility

**Objective:** The country-led modality aims to fund projects that support eligible countries to address priority public sector investments and enable private sector development opportunities in line with country-owned agricultural investment plans.

**Scope:** This Call for Proposals is being launched in the context of the ongoing COVID-19 global pandemic. Therefore, GAFSP funding will support countries' medium- to long-term COVID-19 response efforts for a more sustainable, inclusive, and resilient recovery of their agriculture and food systems in a changing climate to 'build back better'<sup>2</sup>

Within this context, GAFSP will continue its focus on the cross-cutting areas of gender and the empowerment of women and girls, climate resilience, and improved nutritional outcomes – themes that are in line with the Call for Action of the UN Sustainable Development Goals (SDGs) Framework under the UN Decade of Action and also support the achievement of the SDGs to end poverty and hunger by 2030.

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<sup>1</sup> [<https://www.gafspfund.org/guidelines-2021-CFP>]

<sup>2</sup> Deriving from its origins in disaster recovery, the term 'build back better' in the context of the present COVID-19 pandemic and recovery encompasses attention to economic recovery while addressing today's global environmental threats: <https://www.oecd.org/coronavirus/policy-responses/building-back-better-a-sustainable-resilient-recovery-after-covid-19-52b869f5/>

**This Call for Proposals is seeking technically sound proposals that not only justify the proposed public sector investment, but also identify opportunities for complementary private sector engagement<sup>3</sup> and that, by design, support the creation of a conducive environment for private sector investment.** The GAFSP Steering Committee looks forward to receiving a broad range of proposals, and priority will be given to those that are able to demonstrate high likelihood of effective implementation within the specific country context.

**Eligibility:** This Call for Proposals will be open to all members of the International Development Association (IDA) that are classified as active IDA-only countries, i.e., those countries that are eligible to receive financing from IDA and not from the International Bank for Reconstruction and Development (IBRD) and that are not in non-accrual status<sup>4</sup>. This currently includes the 57 countries listed in Table 1 (below). Multi-country submissions from among the 57 eligible countries are also permitted.

Table 1: List of Eligible Countries<sup>5</sup>

Africa (33 countries)			East Asia and the Pacific (11 countries)	Europe and Central Asia (3 countries)	Latin America (4 countries)	Middle East (1 country)	South Asia (5 countries)
Benin Burkina Faso Burundi CAR Chad Comoros Congo, Democratic Republic of Côte d'Ivoire Djibouti Ethiopia Gambia Ghana	Guinea Guinea-Bissau Lesotho Liberia Madagascar Malawi Mali Mauritania Mozambique Niger	Rwanda Sao Tome & Principe Senegal Sierra Leone Somalia South Sudan Sudan Tanzania Togo Uganda Zambia	Cambodia Kiribati Lao PDR Marshall Islands Micronesia, FS Myanmar Samoa Solomon Islands Tonga Tuvalu Vanuatu	Kosovo Kyrgyz Republic Tajikistan	Guyana Haiti Honduras Nicaragua	Yemen	Afghanistan Bangladesh Bhutan Maldives Nepal

<sup>3</sup> The private sector covers a broad spectrum, from individual farmers and their cooperatives through to commercially oriented large-scale corporations. GAFSP, with its focus on smallholders, engages primarily with those parts of the private sector which can play a critical and responsible role in delivering inclusive and sustainable economic growth and poverty reduction by adhering to principles espoused by GAFSP, including emphasis on local markets and working with producer organizations, smallholders, and their communities.

<sup>4</sup> Nonaccrual status occurs when the oldest payment arrears are six months overdue. Once all arrears are cleared, all loans to, or guaranteed by, the country are generally restored to accrual status.

<sup>5</sup> The list of eligible countries is determined at the time of the Call launch. Any changes to a country's IDA-only classification during the Proposal preparation time will not affect the country's eligibility to apply for funding under the Call. Any country that is not eligible at the launch of the Call but that is reclassified as IDA only prior to the submission deadline would become eligible to apply.

### III. Available Financing

Available resources in the GAFSP Trust Fund for Countries and POs applying under this Call for Proposals are approximately US\$150 million<sup>6</sup>. Of this, an indicative amount of US\$125 million will be allocated for Country submissions. These funds are expected to be allocated to 5 - 8 successful Country proposals<sup>7</sup>.

### IV. Proposal Requirements and Evaluation Criteria

GAFSP grant financing is highly competitive and not all technically sound proposals can be financed. In past Calls for Proposals, typically only about a third of received submissions were selected to receive GAFSP grant awards.

Overall, successful proposals will: (i) delineate an effective and cost-efficient set of activities with measurable outcomes responding to clearly identified needs to address medium-to long-term COVID-19 response and sustainable recovery of the agriculture sector and food systems in a changing climate based on the local country context; (ii) incorporate elements of some (not necessarily all) *GAFSP priority cross-cutting themes* of promoting gender and the empowerment of women and girls, strengthening climate resilience, and improving nutritional outcomes as defined in their own country policy framework; and (iii) present an analysis of private sector opportunities that justifies the use of scarce public sector grant financing which cannot be addressed by other types of funding, including private sector financing, and which identifies activities within the proposed project that may lead to increased investments by the private sector including smallholder producers, POs, and firms along the food value chain. In addition, proposals should be evidence-based, taking into account lessons learned from relevant past experiences, and demonstrating implementation capacity and readiness. This could include evidence of having analyzed the effectiveness of measures to date to address COVID-related issues in the food system and proposed solutions.

The Proposal Template is organized into five sections. Proposals will be scored based on the Proposal sections and their respective weightings.

Section	Weighting
Section 1: Basic Data	0%
Section 2: Project Description	30%
Section 3: Context and Policy Environment for the Proposed Project	25%
Section 4: Cross-cutting Themes	20%
Section 5: Project Implementation, Sustainability and Budget	25%

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<sup>6</sup> The total amount is indicative and may change depending on further donor commitments received between the issuance of this Call and the time of allocation. There is no guarantee that all deserving proposals will be funded given the limited availability of funds.

<sup>7</sup> This number is indicative and based on an estimated range of grant sizes from US\$10-30 million. Final awards will be based on relevant country and submission conditions, including the amount requested, quality of the proposal, demonstrated capacity to implement, etc.

## V. Application Process

**Proposal Preparation:** Countries are expected to prepare their own proposals<sup>8</sup>. Only **one** country-led proposal may be submitted per country, which should be determined from an internal process of prioritization<sup>9</sup>. Joint multi-country submissions from among the 57 eligible countries are permitted, though if a country participates in a multi-country proposal, it cannot then also submit a single-country proposal.

**Engagement with Preferred Supervising Entities:** Countries should clearly specify their preferred Supervising Entity(ies) (SEs) in the Proposal Template. While Proposal preparation remains fully the responsibility of country applicants, countries can engage with their preferred SEs early in the preparation of the Proposal. Countries must choose one SE from the following eligible SEs for investment projects: the African Development Bank (AfDB), the Asian Development Bank (ADB), the International Fund for Agricultural Development (IFAD), the Inter-American Development Bank (IDB), or the World Bank. In addition, countries could also choose to select a second preferred SE for technical assistance activities from the following eligible Technical Assistance-only SEs (optional): the Food and Agriculture Organization of the United Nations (FAO) or the World Food Programme (WFP). If a country is selected to receive a GAFSP grant, the GAFSP SE is responsible for supporting the recipient country in the design and implementation of the project, in accordance with the SEs' own policies and procedures.

**Proposal Template:** Applicant countries must complete the Proposal Template included with these Guidelines. All sections must be completed for the GAFSP Steering Committee to be able to make an informed decision on resource allocations<sup>10</sup>.

**Supporting Documents:** Table 2 (below) lists the supporting documents required to complete the application submission package.

**Requesting Financing:** Countries should indicate in their Proposal the total amount of GAFSP funding requested ("Amount Requested"), including a budget in Annex 1. To better guide GAFSP Steering Committee decisions, countries are also requested to indicate in the Proposal Template the minimum amount necessary ("Minimum Amount Needed") to enable the proposed project to be viable and have a development impact. This is needed in the case that available resources do not allow for GAFSP to award a grant equal to the whole requested amount of the proposal. Where applicable, the Proposal should clearly specify the anticipated share of the proposed grant that will focus on investment and the anticipated share that will focus on technical assistance, in consultation with the country's preferred SE(s). For successful proposals, grant amounts will be awarded by the GAFSP Steering Committee, with the final share of the proposed grant that will focus on investment and on technical assistance (if applicable) to be reviewed and finalized during joint project formulation and appraisal.

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<sup>8</sup> The costs for the preparation of the Proposal must be borne by the country. GAFSP will not provide funding for Proposal preparation.

<sup>9</sup> Separately proposals may be submitted by POs operating in the same country. POs should use the PO Guidelines and Template.

<sup>10</sup> In the preparation of their Proposal, countries may wish to reference online resources such as those available through the [CGIAR COVID-19 Hub](#) or the [Committee on World Food Security \(CFS\)](#).

Documents from past Call for Proposals are available under the Project pages of the GAFSP website ([www.gafspfund.org/projects](http://www.gafspfund.org/projects)). However, as the Proposal Guidelines and necessary submission requirements have changed significantly since prior Calls, countries are advised not to rely on submission documents from prior Calls for guidance in preparing their submissions.

**Engagement with Project Participants and Partners:** In addition to engagement with the preferred SE(s), applicant countries are expected to demonstrate in the Proposal Template how they have engaged and consulted, in a gender-inclusive manner, with farmers, agro-processors, marketers, farmer organizations, women’s groups, civil society and/or other relevant stakeholders in the preparation of the Proposal.

**Disclosure:** Successful Proposal documentation will be publicly disclosed. If the Proposal documentation includes confidential information that the government does not wish to be disclosed publicly, it should be clearly highlighted in the submission to permit redaction.

## VI. Proposal Submission and Review Process

**Proposal Submission:** Proposals should be submitted by the Ministry of Finance or other equivalent Lead Ministry (e.g., Ministry of Planning) via e-mail to: [gafsp-info@gafspfund.org](mailto:gafsp-info@gafspfund.org). Submission documents must be in Microsoft Word, Excel, or PDF format. GAFSP Proposals are required to be in English. Supporting documents may be submitted in French. Please contact the GAFSP Coordination Unit (CU) at [gafsp-info@gafspfund.org](mailto:gafsp-info@gafspfund.org) prior to submitting supporting documents in other major languages, which may be permitted on an exceptional basis.

Submissions must be received by: 11:59 pm, 8 September 2021 (Washington, D.C. time (GMT -5)). No exceptions will be made on the deadline or document formats. Countries are encouraged to submit a few days earlier than the deadline in case there are technical problems in the submission process. Applicants will receive an acknowledgement email upon confirmation of receipt.

**Review Process:** Following submission, eligible country proposal packages are reviewed by a Technical Advisory Committee (TAC), comprising independent sectoral experts who use the information provided in the proposals to review and score proposals and subsequently make recommendations to the GAFSP Steering Committee for funding. Upon approval of a grant to a recipient country, the GAFSP Steering Committee will issue an award notification letter. Funding decisions are expected to be made by the GAFSP Steering Committee in late 2021.

**Project Preparation (for successful applicants):** Following approval of a grant by the GAFSP Steering Committee, the recipient country will work with their selected Supervising Entity/ies to design and appraise the Project in line with the Supervising Entity’s policies and procedures.

Table 2: List of documents required to be included in the submission package

Document	Details
(1) Cover letter with endorsement signature from Minister of Finance or other Lead Minister (e.g., Minister of Planning) and from <b>all</b> relevant technical ministries to be involved in the implementation of the project.	Examples of relevant technical ministries: agriculture, rural development, health, women and child welfare, environment/natural resource management, etc.

<p>(2) Statement(s) of readiness from preferred Supervising Entity(ies) <sup>11</sup> signed by the relevant Supervising Entity's country representative</p>	<p>Statement of readiness from proposed Supervising Entity(ies) indicating the following:</p> <ul style="list-style-type: none"> <li>• that they have discussed the proposal with the government</li> <li>• that they are willing and ready to serve as Supervising Entity</li> <li>• preliminary view of the financing structure of the project (e.g., stand alone or additional financing to an existing project) and indicative project milestones <sup>12</sup> (appraisal timeline, Supervising Entity approval date, first disbursement (from Supervising Entity to country), expected end of activity date)</li> <li>• a list of projects (pipeline and under implementation) supported by the Supervising Entity(ies) with their associated amounts for the agriculture and food security related sectors in that country, and most recent Supervising Entity performance rating for each project.</li> </ul>
<p>(3) Letter of Support from the in-country Development Partner Sector Working Group</p>	<p>Letter of support from the in-country Development Partner Sector Working Group indicating the following:</p> <ul style="list-style-type: none"> <li>• that they have discussed the proposal</li> <li>• that the proposal is in-line with and complementary to country development partner priorities</li> <li>• that the proposal does not duplicate other ongoing government or development partner activities</li> </ul>
<p>(4) <i>[If applicable]</i> Letters of intent of co-financing partners <sup>13</sup> to the proposed project (as indicated in Table A of Annex 1)</p>	<p>Letters of intent to co-finance indicating the following:</p> <ul style="list-style-type: none"> <li>• that they have discussed the proposal with the government</li> <li>• that they are willing and ready to collaborate on the project in specified ways</li> <li>• indicative co-financing amount and co-financing modality (e.g., bilateral, channeled through the proposed Supervising Entity, or other).</li> </ul>
<p>(5) GAFSP Proposal</p>	<p>All submissions are required to use the Proposal Template for their Proposal submission.</p>

Do not send additional hard copy documents beyond those requested above. Any additional supporting documentation should be referenced in the application Template with a hyperlink and indication of relevant page numbers. Only send separate documents (include page references) if they cannot be uploaded online, including file-sharing sites such as Dropbox.

<sup>11</sup> Eligible SEs are Multilateral Development Banks (AfDB, ADB, IDB, IFAD or the World Bank for investment operations and/or technical assistance. For technical assistance activities only, FAO and WFP may also be identified as additional preferred Supervising Entities. Specification of two Supervising Entities per proposal is possible; the desired division of labor must be clearly specified in the Proposal. In that case, a statement is required from both SEs.

<sup>12</sup> It is anticipated that the GAFSP Steering Committee will make funding decisions the last quarter of 2021.

<sup>13</sup> Co-financing provided by the selected SE, can be included in the SE statement of readiness. No separate letter of intent would be required in that case.



## GAFSP Call for Proposals: Country Proposal Template<sup>14</sup>

Please review the “GAFSP Call for Proposals: Guidelines for Country Submissions” prior to completing this template<sup>15</sup>. All questions in the Template should be answered and the total length of the completed Proposal should not exceed 20 pages in length (excluding annexes and any supporting documents). Submissions should be in English and should include all documents specified in Table 2 of the Guidelines. Where relevant, include hyperlinks to additional supporting documentation and reference the relevant page numbers. Monetary values should be expressed in US\$ or US\$ equivalent, specifying the exchange rate used (including the date of the exchange rate), and rounded to the nearest ‘000.

### Section 1: Basic Data

a. Project Name	
b. Submitting Country/ies	
c. Ministry/ies responsible for implementation	
d. Primary Country Contact(s) <i>(Name, Title, Organization, Email)</i>  <i>(Names and contact information for proposal preparation team members should be included in Annex 5)</i>	
e. Total GAFSP Grant Funding Requested <i>(refer to Annex 1 – Project Budget Table)</i>	Amount Requested: US\$ Minimum Amount Needed: US\$
f. Estimated project start and end date (mm/yy – mm/yy):	
g. Preferred Supervising Entity	
<p><b>Supervising Entities for Investments and Technical Assistance (Select only one)</b></p> <p><input type="checkbox"/> African Development Bank (AfDB)</p> <p><input type="checkbox"/> Asian Development Bank (ADB)</p> <p><input type="checkbox"/> International Fund for Agricultural Development (IFAD)</p> <p><input type="checkbox"/> Inter-American Development Bank (IDB)</p> <p><input type="checkbox"/> World Bank (WB)</p> <p><b>Supervising Entities for Technical Assistance only (optional<sup>16</sup>)</b></p> <p><input type="checkbox"/> Food and Agriculture Organization (FAO)</p> <p><input type="checkbox"/> World Food Programme (WFP)</p>	

<sup>14</sup> To obtain an editable Word version of this template, contact the GAFSP Coordination Unit at [gafsp-info@gafspfund.org](mailto:gafsp-info@gafspfund.org)

<sup>15</sup> A different template is available on the GAFSP website for POs applying under this Call for Proposals [\[https://www.gafspfund.org/guidelines-2021-CfP\]](https://www.gafspfund.org/guidelines-2021-CfP)

<sup>16</sup> Each Proposal must be supported by one investment Supervising Entity (AfDB, ADB, IFAD, IDB, or WB). In addition, a country may choose to engage a separate Supervising Entity for Technical Assistance activities only (FAO or WFP).



**If more than one Supervising Entity is selected**, provide the anticipated cost share between them.  
[xx] % of the grant will be implemented through the [name of investment Supervising Entity]  
[xx] % of the grant will be implemented through the [name of Technical Assistance Supervising Entity]

h. Has/ve the country/ies previously received a GAFSP grant?

- Yes, please complete *Annex 4*  
 No

## **Section 2: Project Description (weighting 30%) (suggested 5-7 pages)**

### **2.1 Project Development Objective (max. 2 sentences)**

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**2.2. Provide a clear description of the proposed project**, including a brief description of the rationale and approach, and more detailed descriptions of the project components and activities, geographic focus, and target population. Indicate how the proposed project activities are intended to address the sustainable, inclusive, and resilient recovery of the country's agriculture and food systems in a changing climate. In the case of two Supervising Entities, indicate which Supervising Entity will be responsible for each component/activity.

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**2.3. Elaborate on the target population(s) and the targeting strategy for the project?** Be specific in identifying the target population(s) and include expected percentage/number of each group (e.g., women, youth, children, minorities, or other marginalized groups). Why has this population group been selected as the target for this project (include data/evidence to support your argument/level of food insecurity or other need of the target population)? How will the direct beneficiaries be selected?

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**2.4 What supply and market failures will be addressed through the proposed project activities?** Highlight if these supply and market failures have been exacerbated by COVID 19 circumstances. How will the proposed activities "crowd in" rather than "crowd-out" the private sector? Draw upon any analyses of the investment environment that has been conducted (include hyperlinks to any relevant reports). Provide examples of actions taken by the government to respond to these analyses as they relate to the proposed project. Indicate if any further analysis of the private sector investment environment in the country or target project area will be undertaken as part of the project preparation and/or implementation?

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**2.5 Does the project enable any private sector solutions or opportunities to address identified market failures and/or does it have any intention to promote private investments?** If yes, how? (e.g., by professionalizing farmers' organizations and increasing their access to commercial markets, financing market infrastructure, introducing technologies and new markets, improving market information systems, etc.). If not, why not? (e.g., focus on policy initiatives, research or institution strengthening as a public good). If the private sector is not actively engaged in the proposed project, how does the Proposal ensure that markets are not distorted or that disincentives to private sector engagement are not created?

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**2.6 Describe results and how they will be measured at output, outcome, and impact levels.**<sup>17</sup> Follow the guidance in *Annex 2* and provide a Results Monitoring Matrix in *Annex 2, Table E*.

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**2.7 What evidence is there that the proposed approach and activities will successfully address the issues identified?** How does this proposed project relate to other interventions, and what lessons have been incorporated into the project design? Indicate if this is a scaling up of a prior intervention, and provide hyperlinks to relevant documents (e.g., evaluations and/or studies). If associated projects are former or ongoing GAFSP-funded projects, complete *Annex 4*.

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**2.8 In summary, why should GAFSP provide grant funding to the proposed project?** (max. 1 paragraph). Why are the proposed activities a priority for funding?

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**Section 3: Context and Policy Environment for the Proposed Project (weighting 25%) (suggested 4-5 pages)**

**3.1 Describe the state of the country's agriculture and food system, including any current and future pressures on the sector (e.g., climate risks). Describe any national impacts and disruptions caused by COVID-19 on the agriculture sector and food systems, and also particular impacts in project activity area(s) and on the target population(s).** How has the COVID-19 response been coordinated at the country level and in the project area? Include specific COVID-19 context and data, where available, as relevant to the proposed project.

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**3.2 How will the proposed project address medium- to long-term COVID-19 response and recovery of the agriculture and food sectors in a changing climate and support the principle of 'building back better'<sup>18</sup>?** What lessons have been learned from the pandemic over the past year and how will the project improve resilience to future disruptions? If available, provide hyperlinks to relevant research or studies used in your analysis. Provide concrete examples of actions that the country has taken to date to address the impact of COVID-19 and lessons from that experience. Then highlight how the project will build on that to address specific medium- to long-term issues highlighted by the pandemic to 'build back better', limiting environmental degradation, promoting climate resilience and social wellbeing, and ensuring future preparedness.

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<sup>17</sup> Refer to the [GAFSP M&E Plan](#) for guidance on M&E requirements for GAFSP grants once approved.

<sup>18</sup> Deriving from its origins in disaster recovery, the term 'build back better' in the context of the present COVID-19 pandemic and recovery encompasses attention to economic recovery while addressing today's global environmental threats: <https://www.oecd.org/coronavirus/policy-responses/building-back-better-a-sustainable-resilient-recovery-after-covid-19-52b869f5/>

**3.3 Beyond COVID-19, provide additional national, regional and/or local context for the proposed project.** Does the project build on or complement other government, regional organization, or development partner interventions? Has the country been impacted by other shocks in the past year (e.g., hurricanes, locusts, etc.)?

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**3.4 Is the proposed project aligned with the country's agriculture and food security strategies, the national COVID-19 Response Plan, or other approved development plans?** Provide hyperlinks to relevant strategies and development plans (indicate relevant page numbers), or other supporting background information.

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**Section 4: Cross-cutting Themes (weighting 20%) (suggested 2-3 pages)**

*It is expected that all projects will address some, or all, of the cross-cutting themes to a certain degree. However, a cross-cutting theme should only be selected below if it is a significant additional focus of the proposed project with specific activities that address these themes directly.*

**4.1 Does the proposed project address any of the GAFSP priority cross-cutting themes?** (The proposal will be evaluated against the themes selected. Select only themes that the project addresses directly, and for which it will measure and report on impacts/outcomes in the project monitoring framework such as the logframe or Results Framework.)

- Gender and empowerment of women and girls
- Climate resilience
- Improved nutritional outcomes

**4.2 Describe how the project will address the identified thematic focus area(s).** Be specific (go beyond the use of buzz words like “nutrition sensitive agriculture” or “climate resilient varieties”) on how the project activities will address the thematic focus areas in the country/local context. Identify specific issues (include supporting data) related to the selected themes that the project will address, and what target outcomes related to the theme are expected to be achieved.

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**4.3 How are the proposed activities informed by and how do they respond to the country's policies and strategies related to the selected cross-cutting themes?** Reference relevant climate, nutrition or gender commitments and strategies (include hyperlinks and page numbers for relevant documents). Specify what ministries and departments will play a key role in designing and implementing the project and elaborate on their roles in 5.4 (below).

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**4.4 Describe the role and involvement of women and girls in the project.** How will the project respond to the needs of women and girls and contribute to the transformative agenda for women's empowerment? (complete this question even if the gender theme was not selected).

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**Section 5: Project Implementation, Sustainability and Budget (weighting 25%) (suggested 4-5 pages)**

**5.1 What are the risks to achieving the proposed project’s objectives and what are the potential negative externalities or spillovers that could result from the proposed project activities and targeting?** How likely are they to occur, what impact would they have, and what mitigation measures are proposed? Include a detailed assessment under *Annex 3, Tables E and F*.

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**5.2 What are specific design measures that will be incorporated to increase the likelihood of sustainability of the project outcomes?** Provide specific examples of how the project will build in sustainability. For example, who will be responsible for maintenance and operations of equipment and facilities, what kind of fees will be collected, etc.? What capacities would need to be developed and how? Have recurrent costs been factored into assets and programs?

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**5.3 Who has been involved or consulted in the development of the Proposal?** Specify who (e.g., which ministries and agencies, private sector entities, civil society, farmers’ organizations, research organizations, public health and nutrition workers, women’s groups), where, when and through which modality stakeholders were consulted or involved in developing the Proposal. State how these consultations changed or specifically affected the design or selection of the proposed project activities. How would stakeholders continue to be engaged and consulted during project preparation and implementation if the proposal is approved? *Applicants are strongly encouraged to involve civil society during Proposal development and subsequent Project design and implementation.*

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**5.4 Describe the proposed project implementation arrangements**, including technical and other partner ministries, and other partners (e.g., private sector, development partners, civil society organizations, farmers’ organizations, research organizations) that will be involved in the implementation of the project and their roles. Will a separate Project Implementation Unit (PIU) be used to implement this project? If not, what is the implementation arrangement within the ministry? If a PIU will be used, does it exist already for another project or will it be newly created for this project? How will the project be implemented at the regional/local level?

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**5.5 How will the implementation of this activity be coordinated with other partners active in the same sector/geographic area(s) to maximize effectiveness, create synergies, and avoid duplication/overlap of activities?**

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**5.6 Present the overall project budget using the *Tables A, B and C in Annex 1*.** Please respond in *Annex 1*. Do not include a table here.

## Annex 1 – Project Budget Tables

Provide comprehensive budget information for the proposed project. All figures should be in US\$ and rounded to the nearest ‘000.

**Table A: Summary of Overall Project Funding**

Funding Source	Amount	Has this funding been secured (Yes/No)?
GAFSP grant amount requested		n/a
- Investment		n/a
- Technical Assistance		n/a
Government co-financing		
Other Funding Sources ( <i>SE, ODA, private sector, etc.</i> )		
- [Specify source]		
- [specify source]		
- [specify source]		
<b>Total Project Funding</b>		

**Table B: Detailed Budget for Investment Project**

Components	Activities	GAFSP Funding Amount Requested (US\$)	Other Funding Sources Amount (US\$)
Component 1: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
Component 2: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
Component 3: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
<i>Add rows for additional components and activities as needed</i>			
<b>TOTAL BUDGET FOR ALL COMPONENTS</b>			

*Note: Do not include separate line items for contingencies. Instead, factor contingencies into component costs.*

**B.1 For the investment project, briefly discuss the impact on the proposed project design if full requested amount is not awarded.** Would a reduced award mean working in fewer geographic areas, a reduction in the target population, scaled back activities, etc.?

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**B.2. Clarify the underlying assumptions for the proposed budget.** For example, indicative unit costs for major investments (including how derived), program coordination costs, additional budget notes, etc.

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**Table C: Detailed Budget for Technical Assistance Project (if applicable)**

Components	Activities	GAFSP Funding Amount Requested (US\$)	Other Funding Sources Amount (US\$)
Component 1: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
Component 2: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
Component 3: [add name]	Activity 1: [add name]		
	Activity 2: [add name]		
	Activity 3: [add name]		
<i>Add rows for additional components and activities as needed</i>			
<b>TOTAL BUDGET FOR ALL COMPONENTS</b>			

*Note: Do not include separate line items for contingencies. Instead factor contingencies into component costs.*

**C.1 For a Technical Assistance project, briefly discuss the impact on the proposed project design if the full requested amount is not awarded.** Would a reduced award mean working in fewer geographic areas, a reduction in the target population, scaled back activities, etc.?

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**C.2. Clarify the underlying assumptions for the proposed budget.** For example, indicative unit costs for training or workshops, program coordination costs, etc.

>>

## Annex 2 – Proposal Stage Results Monitoring Matrix

**Review *Table D*** below for the list of GAFSP Tier 1 (impact) and Tier 2 (output and outcome) indicators and select the indicators that are relevant to the Proposal. The selected GAFSP Monitoring & Evaluation (M&E) indicators should be included in the Results Monitoring Matrix presented in *Table E* and should feed into the project Results Framework or Log Frame if the Proposal is approved.

**Present a proposal stage Results Monitoring Matrix in *Table E*.** This should include indicators for the project as a whole and for all components, as well as indicative end-of-project target values. Refer to the [GAFSP M&E Plan](#) for requirements to be followed for any approved proposals. Refer to the list of Tier 1 and Tier 2 indicators in *Table D* and include those selected in *Table E*.

Note that the GAFSP M&E Plan is currently undergoing revision and there may be changes to the current set of core indicators. These changes (once finalized) will be communicated to successful recipients for incorporation into the final Results Monitoring Matrix in the SE project design document.

**Table D: GAFSP Tier 1 and Tier 2 Core Indicators**

#	Tier 1 impact indicators for all GAFSP projects	Check if Yes
1	Food and nutrition security ▶ Mandatory Food Insecurity Experience Scale (FIES) indicator and optional indicators are Food Consumption Score (FCS), Minimum Dietary Diversity-Woman (MDD-W) and Minimum Dietary Diversity -Children (MDD-C)	<input type="checkbox"/>
2	Household income	<input type="checkbox"/>
3	Crop yield (apply only to those projects with explicit productivity gain goals)	<input type="checkbox"/>
#	<b>Tier 2 indicators for all GAFSP projects, <i>Mandatory Breakdowns</i><sup>†</sup> (unit)</b> ▶ Indicator notes	
1	Number of beneficiaries reached, gender disaggregated, percentage who have been helped to cope with impact of climate change <sup>††</sup> ▶ People receiving benefits from the project. ▶ Disaggregation for gender and those receiving Climate-Smart Agriculture (CSA)-specific support.	<input type="checkbox"/>
2	Land area receiving improved production support, <i>percentage of these that are climate smart</i> (ha) ▶ Area that adopted new inputs/practices, new/rehabilitated irrigation services, land registration, etc. ▶ Disaggregation for climate-smart interventions.	<input type="checkbox"/>
3	Number of smallholders receiving productivity enhancement support, gender disaggregated, climate-smart agriculture support ▶ Number of end-users who directly participated in project activities. ▶ Includes technology/technique adoptees, water users with improved services, those who had land rights clarified, people offered new financing/risk management services. ▶ Using CSA approaches.	<input type="checkbox"/>
4	Number of producer-based organizations supported (number) ▶ Relevant associations established or strengthened by project.	<input type="checkbox"/>
5	Volume of agriculture loans that are outstanding. ▶ Volume of outstanding loans for agriculture and agribusiness in a financial institution	<input type="checkbox"/>
6	Percentage of beneficiaries with secure rights to land, property, and natural resources (percent of total beneficiaries) <sup>‡‡</sup> ▶ Measured as those with legal documentation or recognized evidence of tenure and those who perceive their rights are recognized and protected.	<input type="checkbox"/>



7	Roads constructed or rehabilitated, percentage resilient to climate risks (km) ▶ All-weather roads built, reopened, rehabilitated, or upgraded by project. ▶ Percentage that are designed to withstand changes in climate.	<input type="checkbox"/>
8	Number of post-harvest facilities constructed and/or rehabilitated (number) ▶ Includes markets, agro-processing/storage/quality control facilities.	<input type="checkbox"/>
9	Volume of agricultural production processed by post-harvest facilities established with GAFSP support, <i>by food group</i> (tons) ▶ Tons of total produce processed sorted by 10 major FAO food groups.	<input type="checkbox"/>
10	People benefiting from cash or food-based transfers, <i>gender disaggregated</i> (number of people) ▶ Number of people who benefited from cash or food transfer interventions.	<input type="checkbox"/>
11	People receiving improved nutrition services and products, <i>gender disaggregated, age disaggregated</i> (number of people) ▶ Number of people who received nutrition counseling/education, recipients of Ready-to-use-Therapeutic Foods, bio-fortified foods, and Vitamin A and micronutrient supplements. ▶ Number of people receiving extension support for nutrition-relevant techniques (e.g., homestead gardens, Farmer Field School support, etc.).	<input type="checkbox"/>
12	<i>Direct employment provided; gender disaggregated</i> (full-time equivalent) ▶ Number of direct employees in a client company. ▶ Part time jobs aggregated to full-time equivalent.	<input type="checkbox"/>
13	Persons receiving capacity development, <i>gender disaggregated, organization type</i> (number of people) ▶ Agricultural and non-agricultural rural training and capacity building support provided. ▶ Distinguishes between individual producers/household members, civil society organization staff, and government officials.	<input type="checkbox"/>
14	Number of substantive deliverables on food security processes completed (number) ▶ Measures “soft support” for institutional development provided through discrete deliverables. ▶ Deliverables include policy studies, strategies and plans, best practices, and lessons learned, among others.	<input type="checkbox"/>

**Note:** The definitions for the Tier 2 indicators can be found on pgs. 24-27 of the [GAFSP M&E Plan](#).

† Reporting on the indicator requires reporting all mandatory breakdowns for the indicator.

†† Climate-related language is included for indicators 1, 2, 3, and 7. In view of discussion and some concerns expressed by the GAFSP Steering Committee, it is noted that the experience of gathering such data at the SE/project level will be tracked and reviewed to assess the ease/feasibility of application and resulting “meaningfulness” of the data that are gathered. Please also see earlier footnote #6 on the use of the term ‘climate-smart’ in the GAFSP M&E Plan.

‡‡ GAFSP projects have not traditionally supported land-ownership reform, although both the TAC and most SE project preparation processes currently evaluate project readiness against a criterion that includes land access and land user rights, and they typically verify such aspects through their respective “safeguards” and appraisal policies. There was demand from SC members to see a standalone indicator, however, that can capture a focus on land use rights.

**Table E: Proposal Stage Results Monitoring Matrix**

Indicators <sup>19</sup>	Unit of measurement	Baseline <sup>20</sup>	End-of-project target	Data sources (Data collection instruments)
Project level indicators				
Project's highest-level indicator				
Component level indicators <sup>21</sup>				
Component 1				
- Indicator 1				
- Indicator 2				
Component 2				
- Indicator 3				
- Indicator 4				

*(Add rows as needed)*

<sup>19</sup> If any cross-cutting themes were selected in Section 3.1, this table must include some indicators that correspond to the selected theme(s).

<sup>20</sup> If this is unknown, write TBD (to be determined).

<sup>21</sup> Please identify indicators that can clearly represent the causal links in the results chain that bridge the gap between the current status and the objectives (desired high-level indicator). Ideally, under each component, there is at least one outcome indicator and correspondent output indicator(s).

### Annex 3 - Risks and Negative Externalities

**F. Describe important potential risks to achieving the project's development objective(s).** Provide an assessment of the likelihood (probability) and risk rating (severity, impact) of the risks, and proposed mitigation measures. Add additional rows to the table for additional risks if needed.

**Table F: Project Risk Assessment**

Risk	Likelihood (L, M, H)	Risk rating (L, M, H)	Risk description	Proposed mitigation measures
<b>Technical design</b> <sup>22</sup> : Risk that technical design could affect the project from reaching its objectives				
<b>Institutional capacity for implementation</b> <sup>23</sup> : Risk that there is insufficient capacity to implement the project				
[add other risks]				
[add other risks]				

For Likelihood: L (low probability), M (moderate probability), or H (high probability).

For Risk rating: L (low risk or impact), M (moderate risk or impact), or H (high risk or impact).

<sup>22</sup> Indicative list of risks to assess: the technical complexity of the project; the extent to which project design is informed by analytical work; adequacy of number of components and subcomponents; past experience in designing and implementing similar operations; whether the design incorporates or relies on untested or unfamiliar technologies and processes; the extent to which project benefits dependent on external factors beyond the scope of the project.

<sup>23</sup> Indicative list of risks to assess: the complexity of the institutional arrangements (at central and local levels) such as number of implementing entities involved; geographical spread of project intervention areas and remoteness of these areas; experience of proposed implementing agency with similar scaled projects with international organizations.

**G. Describe important potential negative externalities or spillover effects that could arise from the project implementation, as well as an assessment of likelihood (probability) and risk rating (severity, impact) of the risks and proposed mitigation measures. Add additional rows to the table for additional potential negative externalities if needed.**

**Table G: Evaluation of Negative Externalities**

Potential Negative Externalities	Likelihood (L, M, H)	Risk rating (L,M,H)	Description of potential negative externalities	Proposed mitigation measures
Environmental <sup>24</sup>				
Social <sup>25</sup>				
Gender				
[add other negative externalities]				
[add other negative externalities]				

For Likelihood: L (low probability), M (moderate probability), or H (high probability).

For Risk rating: L (low risk or impact), M (moderate risk or impact), or H (high risk or impact).

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<sup>24</sup> This could include the potential effects on natural resources such as water sources, forests, and protected areas; potential effects on biodiversity; and where appropriate, potential impacts on the climate arising from unchecked anthropogenic emissions of greenhouse gases (GHGs) and short-lived climate pollution (SLCPs).

<sup>25</sup> This could include the potential effects on human health and safety; the nature, scale and duration of social effects such as the need for land acquisition and/or involuntary resettlement; potential impacts on, equity, and indigenous peoples; and potential impacts on physical cultural resources.



### Annex 4 - Prior GAFSP Grant(s)

Provide details about each prior GAFSP grant the country has received (if applicable). Complete the information for each grant received and for each country in case of a multi-country proposal.

Project Name	
Country	
GAFSP Grant Amount and Amount Disbursed	Total Grant: US\$ Amount Disbursed: US\$
Grant Approval Date	
Project Status	
Project Closing Date	
Project Implementation Update <i>(implementation progress, results, challenges, etc.)</i>	
Most recent/last Supervising Entity Implementation Rating for (i) achieving project objectives and (ii) implementation progress.	
Will the project proposed under this proposal build on or be linked to this prior GAFSP grant? If so, in what way?	

*(copy table in case of multiple prior grants)*



## Annex 5 - Proposal Preparation Team

List the names, titles, organizations, and emails of the core members of the Proposal preparation team (including private consultants and Supervising Entity staff, if any, who directly contributed to completing the Proposal Template). Do not include individuals who participated in wider consultation meetings or workshops held as part of the preparation of the Proposal; their participation and influence in proposal development will have been described in 5.3 (above).

Name	Title	Organization	Email

*(Add lines as needed)*