

FY16 Progress Report for DIME-GAFSP

1. Report against Planned Results of FY16

Overall, FY16 saw significant progress on the GAFSP impact evaluations in Bangladesh, Liberia, and Haiti. The final rounds of data collection was completed in Bangladesh and Haiti, and the IE relaunched in Liberia following suspension after the 2014 Ebola crisis.

In Bangladesh and Haiti, endline surveys were completed as planned. In both cases, analysis is ongoing and full IE results will be prepared in FY17.

A planned midline survey in Nepal was postponed by several months from late FY16 to early FY17 and to allow the project implementation to begin, and in order to allow the health and behavioral change component of the project to implement, causing the survey to be moved into the early months of FY17. The survey firm has already begun mobilization and is expected to complete its work by end of 2016 with a follow-up report following shortly thereafter. The final round of data collection funded by SAFANSI to cover long term impacts will take place in FY18 after which the endline report will be produced.

In Rwanda, a planned follow-up survey to be funded by the government was cancelled because of a project budget shortfall due to a currency devaluation. The endline survey for the LWH IE will be conducted in FY17 and final analysis prepared. In addition, DIME is working with the government on an impact evaluation of the irrigation component of the LWH, work primarily financed by IGC and 3IE. A baseline irrigation survey was completed in FY16, with follow-ups planned for FY17 and FY18.

After a pause due to the Ebola outbreak, DIME relaunched the Liberia impact evaluation, placing a field coordinator in country as the project began re-implementing. A rapid comparison survey was done to assess the situation in country and plan a new version of the IE. A revised Impact Evaluation plan was discussed and agreed with a project staff and the resulting IE will be launched in FY17 with a baseline survey beginning in end of 2016. As soon as the MTR and expected project restructuring of the SAPEC project in Liberia are complete, a revised concept note of the IE will be reviewed to allow the data collection for the full IE to start by end of 2018.

	Planned Deliverables in FY16	Actual Results in FY16
RWANDA: Land Husbandry, Water Harvesting & Hillside Irrigation (LWH)	<ul style="list-style-type: none"> • LWH Overall Impact Evaluation Report • LWH Overall Impact: follow-up survey – Phase 1B and 1C • Rural Finance Impact Evaluation Report and academic working paper • Baseline Survey for Irrigation IE • Baseline Report for irrigation IE 	<ul style="list-style-type: none"> • Pushed back to FY17 to align with the closing date for LWH (June 2017) • The follow-up survey was planned as part of LWH M&E. Due to a currency devaluation, the project faced a budget shortfall, and this survey round was cut. • IE reports from the two rounds of rural finance trials are complete. The academic paper is in draft, and will be released as a working paper in FY17. • The baseline survey for the irrigation IE was completed. • A baseline report for the irrigation IE will be published in FY17. • DIME completed four IE missions: September, November, January and June.
BANGLADESH: Integrated Agricultural Productivity Program (IAPP)	<ul style="list-style-type: none"> • Continued dissemination of midline IE report • Endline Survey • Draft Final IE report 	<ul style="list-style-type: none"> • Midline IE report was shared with the government and the new TTL • The endline survey was completed and endline analysis is underway. The final IE report will be completed and discussed with the government during a mission in FY17.
HAITI: Project for Technology Transfer to Small Farmers (PTTA)	<ul style="list-style-type: none"> • Development of IE report based on follow-up surveys in two IE areas. • One endline survey in initial IE area • Production and dissemination of initial IE report 	<ul style="list-style-type: none"> • Draft IE report completed and shared with the project team for comments. It will be finalized in FY17. • Endline survey completed as planned. • Initial IE report drafted and discussed with the project team, will be finalized in FY17.
NEPAL: Agriculture & Food Security Project (AFSP)	<ul style="list-style-type: none"> • Midline Survey 	<ul style="list-style-type: none"> • Mission in February 2015 revealed that the health and behavioral change component was delayed but starting in spring 2015. In order to include the key IE questions, the midline was postponed until Fall 2016. Survey firm procurement was completed and field planning initiated, so that the midline survey can begin in Aug/Sep 2015.
LIBERIA: Smallholder agricultural Productivity Enhancement and Commercialization (SAPEC)	<ul style="list-style-type: none"> • IE Concept Note completed and reviewed • Baseline Survey • Baseline Report 	<ul style="list-style-type: none"> • The delayed MTR for the project necessitated a slow process for the concept note. • A mission was held in May 2015 to gauge expected outcomes from the MTR and design a new IE accordingly. • A rapid survey was done of 50 IE plan was agreed and new concept note will be drafted accordingly.

2. FY15 Budget and Expenditure Analysis (in US\$)

	FY16 Budgeted	FY16 Actual Disbursements	FY16 Open Commitments	FY16 Total (actual + commitments)	Variance (Budgeted-Total)	Explanation of Variance
<i>Country-specific expenditures</i>						
Rwanda	\$139,370	\$78,814	77,324	\$156,138	-\$16,768	Budget overrun reflects a response to MINAGRI's request for additional capacity building; a Research Assistant facilitated a data analysis training for project staff, and DIME conducted a small IE workshop for the Ministry.
Bangladesh	\$199,067	\$104,874	109,289	\$214,163	-\$15,096	Endline survey was more expensive than planned; discussion of the midline survey results with the government prompted us to increase the survey length to include additional information on fisheries and livestock.
Haiti	\$178,002	\$111,172	\$67,914	\$179,086	-\$1,084	Expenditures roughly as planned.
Nepal	\$291,817	2241.96	\$0	\$2,242	\$289,575	Delayed implementation of behavioral change component forced delay of midline survey from late FY16 to early FY17.
Liberia	\$339,679	53,409.08	22,272.50	\$75,682	\$263,997	Delayed MTR and project relaunch prevented full IE baseline from taking place in FY16. Now planned for FY17.
Sub-total	\$1,147,935	\$350,511	\$276,800	\$627,311	\$520,624	
<i>Personnel Costs (direct and indirect)</i>						
Professional Staff	\$169,274	201307.65	\$0	\$201,308	-\$32,034	Shift in staffing: Daniel Stein left the World Bank in early FY16, and Paul Christian replaced him in the third

						quarter of FY16. Overrun will be absorbed in FY17, as DIME staff will charge a larger portion of time elsewhere.
Administrative Staff	\$12,698	\$0	\$0	\$0	\$12,698	Administrative tasks were handled by the Research Analyst, reflected in costs above.
Sub-total	\$181,973	\$201,308	\$0	\$201,308	-\$19,335	
Travel -- DIME Staff*	\$45,000	\$77,413	\$0	\$92,413	-\$47,413	Reflects unanticipated costs of relocating field coordinators in Rwanda, emergency security supplements for the field coordinator in Liberia, and an additional mission in Rwanda in FY16 (moved up from FY17 in anticipation of upcoming parental leave).
Other Expenses						
Workshop apportion	\$93,969	\$0	\$0	\$0	\$93,969	Workshop postponed to FY17 when more IE results will be available.
DIME Seminars	\$15,000	\$15,000	\$0	\$0	\$0	
Publication & Knowledge Dissemination	\$10,000	\$0	\$0	\$0	\$10,000	Will be used in FY17 and FY18 for dissemination.
Video-conferencing	\$9,000	\$0	\$0	\$0	\$9,000	Will be used in FY17 and FY18 for dissemination.
Sub-total	\$127,969	\$0	\$0	\$0	\$112,969	
TOTAL	\$1,457,877	\$551,820	\$276,799	\$828,619	\$614,258	

3. Proposed FY16-18 Budget

	FY12-15 Actuals	FY16 actual + commitments	FY17 (adjusted)	FY 18 (planned)	Total
<i>Country-specific expenditures</i>					
Rwanda	\$909,475	\$156,138	\$53,232		\$1,041,522
Bangladesh	\$617,976	\$214,163	\$22,904		\$745,754
Haiti	\$517,803	\$179,086	\$38,916	\$40,000	\$707,891
Nepal	\$304,547	\$2,242	\$355,718	\$13,856	\$676,363
Liberia	\$63,307	\$75,682	\$272,635	\$272,635	\$661,986
Mongolia	\$223,400				\$223,400
Fy12 Country Expenses	\$95,051				\$95,051
Sub-total	\$2,731,559	\$627,311	\$743,405	\$80,000	\$4,151,966
<i>Personnel Costs</i>					
Professional Staff (Total)	\$1,091,171	\$201,308	\$154,559	\$97,028	\$1,544,065
Administrative Staff (Total)	\$22,196	\$0	\$24,848		\$47,044
Staff Costs Paid by GAFSP*	\$1,113,367	\$201,308	\$179,407	\$97,028	\$1,591,110
<i>Number of DIME staff (FTE)</i>					
Professional Staff	7.71		1.4	0.5	9.61
Administrative Staff	2.09		0.19	0.19	2.47
Total FTE	9.8		1.59	0.69	\$12
<i>Other Expenses</i>					
Workshop apportion	\$136,782	\$0	\$93,969		\$230,751
DIME Seminars	\$0	\$15,000	\$7,500		\$22,500
Publication & Knowledge Dissemination	\$0	\$0	\$15,000		\$15,000
Translation and Interpretation	\$2,261	\$0	\$0		\$2,261
Research Support	\$26,885	\$0	\$0		\$26,885
Video-conferencing	\$0	\$0	\$15,000		\$15,000
Sub-total	\$165,928	\$15,000	\$131,469	\$0	\$312,397
TOTAL	\$4,010,854	\$843,619	\$1,054,281	\$177,028	\$6,055,473
TOTAL Budget for Current 5 IEs					\$5,564,342
COST PER IE					\$1,112,868

4. Proposed Work Program in FY17-18

	Planned Deliverables in FY17	Planned Deliverables in FY18
RWANDA: Land Husbandry, Water Harvesting & Hillside Irrigation (LWH)	<ul style="list-style-type: none"> • LWH Overall Impact: Endline survey – Phase 1B and 1C • Draft Endline IE report • Academic working papers published for Rural Finance and Agricultural Extension IEs • Baseline report for Irrigation IE • Follow-up survey for Irrigation IE 	<ul style="list-style-type: none"> • LWH Overall Impact Evaluation - Final Endline Report • Dissemination of IE results • Follow-up survey for Irrigation IE (financed by 3ie) • Irrigation IE report
BANGLADESH: Integrated Agricultural Productivity Program (IAPP)	<ul style="list-style-type: none"> • Final IAPP IE report • Academic working paper for the demonstration plot experiment 	<ul style="list-style-type: none"> • Dissemination of IE results
HAITI: Project for Technology Transfer to Small Farmers (PTTA)	<ul style="list-style-type: none"> • Endline survey in second IE area • Final PTTA IE report 	<ul style="list-style-type: none"> • Dissemination of IE results
NEPAL: Agriculture & Food Security Project (AFSP)	<ul style="list-style-type: none"> • Midline Survey • IE report based on Midline Survey 	<ul style="list-style-type: none"> • Endline Survey (funded by SAFANSI) • Final IE report based on Endline survey • Dissemination
LIBERIA: Smallholder agricultural Productivity Enhancement and Commercialization (SAPEC)	<ul style="list-style-type: none"> • IE Concept Note completed and reviewed • Rapid Survey Report • Baseline Survey • Baseline Report 	<ul style="list-style-type: none"> • Endline Survey • Final IE report

5. Impact Evaluation Milestones

Country: Rwanda

Project: LWH

Impact Evaluation Milestones	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19
Project effective	✓							
Field Coordinator In Country	✓	✓	✓	✓	✓	Planned		
Concept Note		✓						
Baseline Survey (Phase 1B)		✓						
Baseline Survey (Phase 1C)			✓					
Baseline Report (Phase 1B)		✓						
Baseline Report (Phase 1C)			✓					
Concept Note (irrigation)					✓			
Baseline Survey (Irrigation)					✓			
Baseline Report (Irrigation)						Planned		
Follow-up Data Collection*			✓	✓		Planned	Planned	
Follow-up Report(s)			✓	✓			Planned	Planned
Endline Survey (Phase 1B & 1C)						Planned		
Final IE Report							Planned	
Project Close							Planned	
Capacity building activities	✓	✓	✓	✓	✓	Planned	Planned	

The LWH project has multiple implementation phases. Phase 1A covered four sites in the Western and Northern provinces. Phase 1B covered three sites in the Eastern provinces. Phase 1C covers two sites in the Northern Province. DIME began to work with LWH at the beginning of Phase 1B of the project. We conducted a baseline survey of the Phase 1B sites in 2013, and a separate baseline survey for Phase 1C in 2014.

The LWH Impact Evaluation portfolio covers both the overall impact of the project and trials and effectiveness tests of specific project components, including agricultural extension, rural finance and irrigation. The irrigation IE is the newest addition to the portfolio. DIME did a baseline survey specifically for the irrigation IE in Phase 1A sites, the first sites where the infrastructure was completed. This component of the impact evaluation will continue through FY19; follow-up data collection will be financed by the IGC and 3IE.

Country: Bangladesh

Project: IAPP

Impact Evaluation Milestones	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19
Project effective	✓							
Field Coordinator In-Country		✓	✓	✓				
Concept Note		✓						
Baseline Survey		✓						
Baseline Report			✓					
Rapid 1-year Follow-Up Data Collection			✓					
IE Brief on 1-year Outcomes			✓					
Midline Survey				✓				
IE Report on 2-year Outcomes				✓				
Endline Survey					✓			
IE Report on 3-year Outcomes						Planned		
Project Close						Planned		
Capacity-building activities		✓	✓					

In Bangladesh, three follow-up surveys will be conducted after the baseline. A shorter, rapid follow-up survey was conducted in FY14 to determine with accuracy which households from baseline ended up participating in IAPP, and to measure short-term effects for a sub-sample. This was requested by the project team to provide data for the mid-term review. The main follow-up survey for the purposes of the IE was the Midline survey in FY15, a comprehensive survey given to the entire IE sample. DIME conducted a smaller end-line survey before project close in FY16 to satisfy demands from the project team to have data for the ICR, and to assess longer-term impacts of the project. The survey was smaller, as there were only 20 control villages left by FY16 (compared to 103) in FY15.

Country: Haiti

Project: PTTA

Impact Evaluation Milestones	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19
Project effective	✓							
Field Coordinator In-Country		✓	✓	✓	✓	Planned		
Concept Note		✓						
Baseline Survey			✓					
Baseline Report				✓				
Follow-Up Data Collection				✓	✓			
Follow-up IE Report(s)						Planned		
Project Close						Planned		
Capacity building activities		✓	✓					

A follow-up survey was completed in FY16 and initial analysis discussed with the government. The team is finalizing the analysis and preparing an IE report to be shared in FY17.

Country: Nepal

Project: AFSP

Impact Evaluation Milestones	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19
Project effective		✓						
Field Coordinator In-Country		✓	✓		✓	✓	✓	
Concept Note			✓					
Baseline Survey			✓					
Baseline Report			✓					
Midline Survey						✓		
Midline IE Report						✓		
Endline Survey							Planned (SAFASNSI funding)	
Final IE Report							Planned (SAFANSI Funding)	
Project Close							✓	
Capacity-building activities		✓	✓					

As in Bangladesh, in Nepal the activities in each VDC (village) are expected to last around two years. Therefore, the main follow-up survey is the mid-line, planned for two years after the beginning of the project implementation. Because the health and behavioral change interventions took longer than expected to launch, the midline survey was delayed from late FY16 to early FY17. DIME has secured additional funding from SAFANSI to conduct an additional survey in FY18 to measure longer-term effects of AFSP.

Country: Liberia **Project:** SAPEC

Impact Evaluation Milestones	FY12	FY13	FY14	FY15	FY16	FY17	FY18	FY19
Project effective			✓					
Field Coordinator In-Country			✓		✓	✓		
Concept Note						Planned		
Rapid Survey					✓			
Rapid Survey Report						✓		
Baseline Survey						Planned		
Baseline Report						Planned		
Endline Survey							Planned	
Final IE Report							Planned	
Project Close								
Capacity-building activities			✓	✓	✓	Planned	Planned	

Following the Ebola crisis in 2014, the Field Coordinator was evacuated and project activities put on hold. The project relaunched in FY16 and a new field coordinator was placed. Missions were undertaken in May 2016 to replan the IE. The concept note for an IE that takes into account the new timeline and expected project activities is nearing completion. Soon the project will have to start implementing with or without the completed MTR, so the concept note will be submitted for review by September 2016 whether or not the MTR is fully completed. It is expected that the intervention will begin by end of 2016 and a baseline underway before the end of 2016.